

Sustainable Investing

HIGHLIGHTS

Experience
Approach
Evaluation & Reporting

Over 20 years of sustainable investment expertise

Marquette has over 20 years of experience working with and guiding our clients to invest in sustainable investment products. Whether using an SRI, ESG, or Impact methodology, our expertise is directly grounded in the missions and objectives of our clients. We are an active voice in the sustainable investment industry through speaking engagements, thought pieces, and participation in advocacy initiatives.

1998	2001	2011	2013	2015	2016	2017	2018
First client completely divests from fossil fuels	First year attending RCRI conference, continuing through today	First ESG research piece published	Formation of Sustainable Investing Group	Impact Investing newsletter and corresponding webinar for clients & community	SRI views featured in various industry publications including CCM's <i>The Impact Investor</i> and <i>Benefits Magazine</i>	ESG in DC Plans article published by <i>Benefits Magazine</i> ; ESG in DC webinar to accompany	Impact investing presentation and panel at RCRI 2018 ESG interview with Dimensional Fund Advisors
2019	2020	2021	2022	2023			
Development & implementation of UN SDGs manager scorecard Join ICCR as affiliate member "The Investment Case Behind ESG Investing" at annual symposium	Partnership with MSCI for ESG manager evaluation and reporting Founding member of the Institutional Investing Diversity Cooperative (IIDC) Sustainable Investing video series on YouTube	Sustainable Investing Post-COVID article published by <i>Benefits Magazine</i> USCCB Guidelines expertise consultation Founding member of the Investment Consultants Sustainability Working Group – United States (ICSWG-US)	Hired dedicated Sustainable Investing analyst "Incorporating the Values of the SDGs into Your Portfolio" panel at RCRI 2022 "Pricing Power: The Relationship Between Inflation and ESG" at client symposium	Contributed to ICSWG-US guidance on Net Zero considerations for Asset Owners Enhanced manager due diligence process to consider additional sustainability factors "Climate Change, Diversity, Equity and Inclusions: Risks and Opportunities in Investments" panel at RCRI 2023			
		 	 	 	 		

Our Approach

Our approach to sustainable investing begins with a deep understanding of each client's specific values and investment objectives, which oftentimes involves some level of education on sustainability trends. To that end, we start with the investment policy statement — incorporating the client's mission and ensuring that the goals of the client are clearly defined so that all responsible parties understand what is to be achieved. Compliance with the investment policy is equally as important; Marquette serves as the referee in this sense, monitoring the underlying manager's holdings and providing our clients with compliance reporting and sustainability scorecards.



SUSTAINABLE INVESTING CLIENTS

83



SUSTAINABLE AUA

\$130B

AS OF SEPTEMBER 30, 2023

A sustainable investing client is defined as a client that has sustainable investing language in their investment policy statement.

Sustainable Investing Group

Marquette's dedicated group for evaluating sustainable investing strategies in line with both the investment and social objectives of our clients was established in 2011. We are active members of the sustainable investing community and are thought leaders in the development of new strategies.



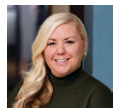
Nichole Roman-Bhatty, CIMA®
Managing Director
Committee Co-Chair



Linsey Schoemehl Payne
Chief Compliance Officer,
Managing Partner
Committee Co-Chair



Nat Kellogg, CFA
President, Director of
Manager Search



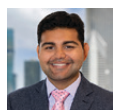
Aimee O'Connor, CFP®
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David Hernandez, CFA
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Lili Park
Vice President,
Client Service



Alberto Rivera
Asst. Vice President,
Client Service



Kevin Anderson, CFA
Asst. Vice President,
Client Service



Theresa Snarski
Associate Client Analyst

Evaluation & Reporting

Marquette's commitment to providing sustainable investing solutions for its clients is reflected in the continued enhancement of our evaluation and reporting capabilities. ESG evaluation criteria is included in our investment manager searches as part of the initial due diligence process and most recently we have integrated third-party analytics to provide portfolio-level insight and reporting across a number of ESG factors.

In recent years, the UN's Sustainable Development Goals (SDGs) have emerged as the leading framework for reporting, serving as the "universal language" when incorporating ESG into the investment process at the asset owner, asset manager, and underlying issuer levels. As such, Marquette has developed customized workshops aimed at educating asset owners on use of the SDGs and aligning a client's investment objective and mission with them. We also incorporate the SDGs as part of our initial manager due diligence process and ongoing evaluation criteria.



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