California Privacy Notice and Notice at Collection

LAST UPDATED: NOVEMBER 2026

This California Privacy Notice ("Notice") supplements the information contained in Marquette Associates, Inc.'s ("Marquette," "we," "our," or "us") Privacy Policy and applies solely to California residents whose personal information is subject to applicable California privacy laws.

Marquette respects your privacy and is committed to protecting the confidentiality and security of personal information entrusted to us. This Notice describes our collection, use, retention, and disclosure of personal information and explains the rights available to California residents.

As an SEC-registered investment adviser, Marquette may collect certain personal information in connection with providing financial products and services. Personal information that is subject to the Gramm-Leach-Bliley Act ("GLBA") and its implementing regulations may be exempt from certain requirements of the California Consumer Privacy Act ("CCPA"). Personal information collected outside the scope of GLBA, such as information collected through our website, recruiting activities, or marketing communications, may be subject to applicable California privacy laws.

Categories of Personal Information We Collect through our Website

Depending on your relationship with Marquette, we may collect the following categories of personal information:

- **Contact Details and Inquiries**
  - Contact information such as full name, email address, company, telephone number, and message content
- **Professional or Employment Information**
  - Contact details and resume
- **Research-Alert Subscriptions**
  - Email address, name, company, selected subscription preferences
- **Technical Identifiers**
  - IP addresses, browser information, page requests, login activity, and other technical identifiers

How We Use Personal Information

We may use the above personal information for the following purposes:

- Providing investment consulting and related services
- Responding to inquiries and requests
- Managing business relationships
- Recruiting and evaluating job candidates
- Operating, maintaining, and improving our website
- Information security and fraud prevention
- Auditing and monitoring compliance
- Protecting our legal rights
- Complying with applicable laws, regulations, and regulatory requests

For more information on Marquette's privacy practices, please see our Privacy Notice. For more information on the rights of California residents, please see the section below.

Sensitive Personal Information

Marquette does not use sensitive personal information for purposes that require a right to limit use under California law. To the extent we collect sensitive personal information, we do so only as reasonably necessary to provide services, comply with legal obligations, maintain security, or support our business operations.

Disclosure of Personal Information

We may disclose personal information to:

Service Providers

Third parties that assist us with:

- Information technology and cybersecurity
- Website hosting and analytics
- Professional services
- Administrative support
- Recruiting and human resources services

No Sale or Sharing of Personal Information

Marquette does not sell personal information.

Marquette does not share personal information for purposes of cross-context behavioral advertising.

Data Retention

Marquette retains personal information only for as long as reasonably necessary to:

- Fulfill the purposes described in this Notice;
- Provide services to clients;
- Comply with legal, regulatory, tax, accounting, and recordkeeping requirements; and
- Resolve disputes or enforce agreements.

Retention periods vary depending on the nature of the information and applicable legal requirements.